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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/727,491	12/04/2000	Hua Chen	SOM920000009US1/1963-7398	6533
7590	03/30/2004			EXAMINER HUYNH, CONG LAC T
WILLIAM E. LEWIS RYAN, MASON & LEWIS, LLP 90 FOREST AVENUE LOCUST VALLEY,, NY 11560			ART UNIT 2178	PAPER NUMBER / /
DATE MAILED: 03/30/2004				

Please find below and/or attached an Office communication concerning this application or proceeding.

PPL

<b>Office Action Summary</b>	<b>Application No.</b> 09/727,491	<b>Applicant(s)</b> CHEN ET AL.
	<b>Examiner</b> Cong-Lac Huynh	<b>Art Unit</b> 2178

*-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --***Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).

Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) Responsive to communication(s) filed on 04 December 2000.
- 2a) This action is FINAL.                    2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quay/e*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-20 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on 04 December 2000 is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) All    b) Some \* c) None of:
  1. Certified copies of the priority documents have been received.
  2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)	4) <input type="checkbox"/> Interview Summary (PTO-413)
2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Date. _____
3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date <u>1 &amp; 4</u> .	5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)
	6) <input type="checkbox"/> Other: _____

## **DETAILED ACTION**

1. This action is responsive to communications: the application filed on 12/04/00, and the IDS filed on 3/15/01.
2. Claims 1-20 are pending in the case. Claims 1, 11, 14 are independent claims.

### ***Inventorship***

3. In view of the papers filed 6/26/01, it has been found that this nonprovisional application, as filed, through error and without deceptive intent, improperly set forth the inventorship, and accordingly, this application has been corrected in compliance with 37 CFR 1.48(a). The inventorship of this application has been changed by adding the inventor Qun Zhou into the list of the inventors.

The application will be forwarded to the Office of Initial Patent Examination (OIPE) for issuance of a corrected filing receipt, and correction of the file jacket and PTO PALM data to reflect the inventorship as corrected.

### ***Drawings***

4. The drawings are objected to for the following reasons:
  - figure 6 is not legible
  - there is no consistence between the specification (pages 5-6, 8) and the drawings (figures 1, 3-5). For example, there is no Rich Media asset 13 in figure 1, no #502 for Graphical Stand-Alone Authoring Tool in figure 5

Applicants are requested to *check the numbers and the corresponding items as disclosed in the specification and in all of the figures*. Also, it is suggested to change the black background since it is hard to tell which corresponding item a number points to.

***Specification***

5. It is requested that Applicants update the status of the related application mentioned in the specification (page 1, line 20).

***Claim Rejections - 35 USC § 112***

6. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
7. Claims 1-20 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Regarding independent claim 1, it is incorrect when combining "*the template and the Rich Media Content description file* in the processor to create a MVR file executable on a multimedia player" (lines 6-7) since the Rich Media Content description file means the template, and the *XML textual specification description*, e.g. the template, *is combined with the raw media asset* as disclosed in the specification (pages 13-20: "... a creator using a standard graphical or text editing tool has access to raw media assets on the disk for preparing a textual specification description, typically XML in an electronic template of desired Rich Media Content. The template and raw media assets are

*transmitted by the creator to the server for combining the raw media assets and the XML textual specification in the template as a composed file ...").*

Independent claims 11, 14, and dependent claims 6-8 are also rejected under the same issue.

Dependent claims 2-10, 12-13, 15-20 are rejected for fully incorporating the deficiencies of their base claims 1, 11, and 14.

#### ***Double Patenting***

8. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

9. Claims 1-20 are provisionally rejected under the judicially created doctrine of double patenting over claims 1-6, 10-13, 18-21, and 27 of copending Application No. 09/727,524. This is a provisional double patenting rejection since the conflicting claims have not yet been patented.

The subject matter claimed in the instant application is fully disclosed in the referenced copending application and would be covered by any patent granted on that copending application since the referenced copending application and the instant application are claiming common subject matter, as follows:

'524 discloses:

- creating a template for structuring Rich Media Content (claim 1)
- combining the template and the Rich Media content in the processor to create a MVR file executable on a multimedia player (claim 1)
- creating an XML based MVR file using a text editor (claims 2-3)
- operating the processor in a batch mode to combine the template and the Rich Media Content into a Multimedia Vehicle Repository (MVR) file executable on a multimedia player (claim 4)
- injecting content into the template by users (claim 2: editing the Rich Media Content description file by a user suggests injecting data to the template since editing includes adding data)
- managing the creation of the template and Rich Media content file in stages by different users (claim 6)
- the MVR file is a Rich Media container in a binary format (claim 10)
- using an MVR-XML file as a data interchange among other Rich Media Content creation application (claim 5)

'524 does not disclose:

- accessing the Rich Media assets using a processor as an authoring tool to create
  - a Multimedia Vehicle Repository (MVR) file of selected stored Rich Media assets in a text based format

However, '524 discloses:

- collecting and formatting Rich Media as a Multimedia Vehicle Repository (MVR) file and a first input to an authoring tool (claim 1)

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to have modified '524 to include said accessing above since collecting and formatting Rich Media Content as a MVR file suggest accessing the Media asset for collecting media content and creating a MVR file via formatting media content as a MVR file.

Furthermore, there is no apparent reason why applicant would be prevented from presenting claims corresponding to those of the instant application in the other copending application. See *In re Schneller*, 397 F.2d 350, 158 USPQ 210 (CCPA 1968). See also MPEP § 804.

#### ***Claim Rejections - 35 USC § 103***

10. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

11. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

12. Claims 1-2, 6-14, 18-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gibbon ((US Pat No. 6,473,778 B1, 10/29/02, filed 2/1/99, priority 12/24/98).

Regarding independent claim 1, Gibbon discloses:

- accessing the Rich Media assets using a processor as an authoring tool to create a Multimedia Vehicle Repository (MVR) file of selected stored Rich Media assets in a text based format (col 3, lines 18-28, 45-57, col 2, lines 8-12, figure 9 and col 13, line 53 to col 14, line 7: *accessing a large multimedia database using standard text information retrieval system; using the automated multimedia authoring tools for creating hypermedia document*)
- combining the template and the Rich Media Content description file in the processor to create a MRV file executable on a multimedia player (figure 9 and col 13, line 53 to col 14, line 7: selecting a template to apply the linked media in

text description file and image description file to the template for rendering a hypermedia document to users)

Gibbon does not explicitly disclose creating a template for structuring Rich Media Content. Instead, Gibbon does teach the template set to select for creating a multimedia document (figure 9 and col 13, line 53 to col 14, line 7).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to have modified Gibbon to include creating a template for structuring Rich Media Content since *the templates in the template set* for creating a hypermedia document suggests creating the templates for media content.

Regarding claims 2 and 9, which are dependent on claim 1, Gibbon discloses injecting other content into the Rich Media content description file (col 5, lines 1-19: placing anchors elsewhere in the text, modify the list to improve the document layout, ...).

Gibbon does not explicitly disclose that the injecting step is performed by another users. However, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to have modified Gibbon to include another users to inject media data to the template for the following reason. Gibbon program provides an authoring tool to users to generate a multimedia document in response to the user request using a selected template (col 2, lines 8-12), thus motivating that different users, when using this program, would insert different media data to a selected template.

Regarding claim 6, which is dependent on claim 1, Gibbon discloses managing the creation of the template and Rich Media Content description file in stages (figure 9 and

col 13, line 53 to col 14, line 7). Gibbon does not explicitly disclose that said managing is carried out by different users. However, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to have modified Gibbon to include the feature that different users manages the creation of template and media content file because of the following reason. Gibbon provides templates and media content files to create hypermedia documents by users (figure 9 and col 13, line 53 to col 14, line 7; col 2, lines 8-12). This suggests that different users may select a same template to combine with media content to create a hypermedia document.

Regarding claims 7 and 8, which are dependent on claim 1, Gibbon does not explicitly disclose operating the processor in a batch mode to combine the template and the Rich Media content description file into a Multimedia Repository (MVR) file executable on a multimedia player.

However, Gibbon provides an *automated* method and an *automated multimedia authoring tool* for creating hypermedia documents from conventional transcription of television programs wherein a hypermedia document is created by inserting multimedia content into the template (col 2, lines 46-53, figure 9, and col 13, line 53 to col 14, line 7). Thus, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to have modified Gibbon to include a batch mode when operating to combine the template and the media content since the automated feature in Gibbon suggests that the combining step is performed automatically as in the batch mode

wherein the steps of the program are set up to *run automatically* without user intervention.

Regarding claim 10, which is dependent on claim 1, Gibbon discloses that the MVR file is a Rich Media container in a binary format (col 11, lines 63-67: since the video frames extracted in digital format via the analog-to-digital converter, the multimedia file including video frames should also be in digital format, that means in the 1s and 0s of binary numbers).

Claims 11-13 are for a system of method claims 1, 6-7, and are rejected under the same rationale.

Claims 14, 18-20 are for a program medium of method claims 1-2, 6-8, and are rejected under the same rationale.

13. Claims 3-5, 15-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gibbon as applied to claims 1 and 14 above, and further in view of Hui (US Pat No. 6,654,030 B1, 11/25/03, filed 3/31/99).

Regarding claim 3, which is dependent on claim 1, Gibbon does not disclose creating an XML based MVR file.

Hui discloses a XML-based video file (figure 1 and col 2, line 59 to col 3, line 30). It would have been obvious to one of ordinary skill in the art at the time of the invention was made to have combined Hui into Gibbon since the XML-based video file in Hui

suggests creating a multimedia file in XML-based format, providing the advantage to apply the XML-based format instead of the HTML-based format to the multimedia file in Gibbon (figure 9) for enhancing the display of multimedia files on the web such as synchronizing the video files, a feature that HTML does not provide to multimedia.

Regarding claim 4, which is dependent on claim 1, Gibbon does not disclose using a textual editor to create an MVR-XML file.

Hui discloses a XML-based video file (figure 1 and col 2, line 59 to col 3, line 30). It would have been obvious to one of ordinary skill in the art at the time of the invention was made to have combined Hui into Gibbon since the XML-based multimedia file would provide the advantage to apply to the HTML-based multimedia file, which is also in markup language-based for conveying more features for a media file such as video synchronizing, and it was well known in the art that the multimedia file in XML or HTML format, which is a text file, is created using any text editor.

Regarding claim 5, which is dependent on claim 1, Gibbon does not disclose using an MVR-XML file as a data interchange among other Rich Media Content creation applications.

Hui discloses using an MVR-XML file as a data interchange among other Rich Media Content creation applications (col 4, lines 49-65 and figure 4: disk 3 has media files for different applications).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to have combined Hui into Gibbon since Hui discloses using an MVR-XML file as a data interchange among other Rich Media Content creation applications providing the advantage to replace the MVR-HTML file in Gibbon for advanced features for video files such as video synchronizing.

Claims 15-17 are a medium of method claims 3-5, and are rejected under the same rationale.

***Conclusion***

14. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Matsuzawa et al. (US Pat No. 6,330,004 B1, 12/11/01, filed 11/27/98).

Hsu et al. (US Pat No. 6,295,058 B1, 9/25/01, filed 7/22/98).

Straub et al. (US Pat No. 6,091,411, 7/18/00, filed 12/7/98).

Cedar et al. (US Pat No. 6,256,650 B1, 7/3/01, filed 5/18/98).

Lee et al. (US Pat No. 6,061,696, 5/9/00, filed 4/28/97).

Barrus et al. (US Pat No. 6,693,652 B1, 2/17/04, filed 9/26/00).

Kiyomo et al. (US Pat No. 6,137,483, 10/24/00, filed 11/27/96).

Orr (US Pat No. 6,457,027 B1, 9/24/02, filed 4/1/97).

Balabanovic (US Pat No. 6,624,826 B1, 9/23/03, filed 9/28/99).

Nishi (US Pat No. 6,681,395 B1, 1/20/04, filed 3/18/99).

Livingston et al. (US Pat No. 6,424,979 B1, 7/23/02, filed 12/30/98).

Gibbon, Automated Authoring of Hypermedia Documents of Video Programs, ACM 1995, pages 1-12.

Fraisse et al., Generating Hypermedia from Specification by Sketching Multimedia Templates, ACM 1996, pages 353-363.

Jourdan et al., Madeus, an Authoring Environment for Interactive Multimedia Documents, ACM 1998, pages 267-272.

15. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cong-Lac Huynh whose telephone number is 703-305-0432. The examiner can normally be reached on Mon-Fri (8:30-6:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Heather Herndon can be reached on 703-308-5186. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Clh  
3/18/04



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SUPERVISORY PATENT EXAMINER